NEW DOCKET NUMBER FOR

CONTINUATION APPLICATION: EMC03-10(98102)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Steven M. Blumenau

Continuation of

Utility Patent Application

Original Serial No.:

09/342,474

Title:

METHODS AND APPARATUS FOR INTERFACING

TO A DATA STORAGE SYSTEM

Original Filing Date: Filing date of this

June 29, 1999

Continuation:

July 18, 2003

Former Examiner:

Tuan V. Thai

Former Art Unit:

2186

Certificate of Express Mail Under 37 C.F.R. §1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **MAIL STOP ISSUE FEE**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: July 18, 2003

By Tammy L. Rosado

(Typed or printed name of person signing)

Express Mail Mailing

Label No.: EV273188214US

Signature

MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR FILING A CONTINUATION PATENT APPLICATION

Sir:



[x] This is a request for filing a Continuation patent application under 37 CFR 1.60 of pending prior application number 09/342,474, filed June 29, 1999, entitled

METHODS AND APPARATUS FOR INTERFACING TO A DATA STORAGE SYSTEM

- [x] The front page of the specification has been amended by inserting before the first line, the sentences: "This Patent Application is a continuation of U.S. Application No. 09/342,474, filed June 29, 2003, Attorney Docket No. EMC99-02(98102), entitled "METHODS AND APPARATUS FOR INTERFACING TO A DATA STORAGE SYSTEM." The entire contents and teachings of this referenced application are hereby incorporated by reference herein.
- [x] Formal Drawings are enclosed
- [x] The inventor of the invention being claimed in this application is: Steven M. Blumenau
- [x] A copy of the original Declaration from the parent application signed by the inventor is enclosed.
- [x] The prior application is assigned of record to: EMC Corporation, Hopkinton, Massachusetts.

Accordingly, also enclosed with this Request is/are the following items:

- [x] / Transmittal Letter (4 pages, in duplicate); Total Pages 8;
- [x] Request for Filing a Continuation Patent Application (This Form); Total Pages 4
- [x] Utility Patent Application Specification including Title of the Invention, Background of the Invention, Summary of the Invention, Brief Description of the Drawings, Detailed Description, Claim(s) 1 and Abstract of the Disclosure, Total Pages: 42;
- [x] Formal Drawings: (Figs:1,2,3,4,5,6,7,8,9), Total Sheets: 9;

-3-

- [x] Rule 122, Request for Non-Publication, Total Pages: 1;
- [x] Prior Executed Oath/Declaration: Total Pages: 2;
- [x] Return Receipt Postage Pre-paid Postcards for this continuation application (in duplicate), Total postcards: 2;

CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	1-20 =	0	0x \$18	\$
Independent Claims	1-3 =	0	0x \$84	\$
Multiple Independent C	\$ 0.00			
BASIC FILING FEE =				\$ 750.00
Total of Above Calculations =			\$	
Assignment Recordation Fee =				\$ 0.00
TOTAL FEE FOR THIS PATENT APPLICATION =			\$ 750.00	

DOCKET NUMBER CHANGE FOR CONTINUATION:

Please note that a new Docket Number has been assigned to this continuation application. The new docket number is: <u>EMC03-10(98102)</u>

-4-

Please direct all telephone calls and address all correspondence to:

Barry W. Chapin, Esq.
USPTO Registration No. 39,934
Attorney for Applicants
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
United States of America
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Authorization to Charge Deposit Account:

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, U.S.P.T.O. Deposit Account No. <u>50-0901</u>.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600 in Westborough, Massachusetts.

Respectfully submitted,

Rv.

Barry W. Chapin, Esq.

U.S.P.T.O. Registration No.: 39,934

Attorney for Applicants CHAPIN & HUANG, L.L.C. Westborough Office Park 1700 West Park Drive

Westborough, Massachusetts 01581

Telephone: 508-366-9600 Facsimile: 508-616-9805

New Attorney Docket No.: EMC03-10(98102)

Dated: July 18, 2003

PTO/SB/35 (11-00) (REPRODUCED)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Stephen M. Blumenau	
Title		S AND APPARATUS FOR INTERFACING FA STORAGE SYSTEM	
Attv. Docket Number		EMC03-10(98102)	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 18, 2003 Date

Signature

Barry W. Chapin, Esq.
Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 35 U.S.C. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Steven M. Blumenau

Continuation of

Utility Patent Application

Original Serial No.:

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Signature

MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR FILING A CONTINUATION PATENT APPLICATION

Sir:

Enclosed is/are

- [x] Transmittal Letter; (this form, 2 pages in duplicate), Total Pages 4;
- [x] Request for Filing a Continuation Patent Application; Total Pages 4
- [x] Patent Application Specification including Title of the Invention, Background of the Invention, Summary of the Invention, Brief Description of the Drawings, Detailed Description, Total Claim(s) 1 and Abstract of the Disclosure, Total Pages: 42;
- [x] Formal Drawings: (Figs:1,2,3,4,5,6,7,8,9), Total Sheets: 9;
- [x] Rule 122, Request for Non-Publication, Total Pages: 1;
- [x] Prior Executed Oath/Declaration: Total Pages: 2;
- [x] Return Receipt Postage Pre-paid Postcards for this continuation application (in duplicate), Total postcards: 2;

Entity Size Statement:

The Undersigned hereby certifies that the Assignee to which the above identified application is assigned is a Large Entity.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Authorization to Charge Deposit Account if deficiency/surplus in fees:

Please charge any deficiency in the enclosed fees or credit any overpayment to our Deposit Account No. <u>50-0901</u>.

Respectfully submitted,

Barry W. Chapin, Esq.

Attorney for Applicants Registration No.: 39,934

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Attorney Docket No.: EMC03-10(98102)

Dated: July 18, 2003